2018-2019 PRELIMINARY FINANCIAL REPORT & OUTLOOK 2020-2023

BEATRICE HALPAAP
UNIT HEAD, PROGRAMME INNOVATION AND MANAGEMENT

JOINT COORDINATING BOARD
17-18 JUNE 2020

OUTLINE

Documents for endorsement
- Financial management report 2018-2019 & outlook 2020-2023
- Certified financial statement 2019
2018-2019 APPROVED PROGRAMME BUDGET SCENARIOS

US$ millions

UD: undesignated funds
DF: designated funds

2018-2019 40m budget

UD 30
DF 10
40

2018-2019 50m budget

UD 37
DF 13
50

2018-2019 BUDGET SCENARIOS AND FUNDS AVAILABLE

US$ millions

UD: undesignated funds
DF: designated funds

2018-2019 40m budget

UD 30
DF 10
40

2018-2019 50m budget

UD 37
DF 13
50

2018-2019 funds available

UD carry over 5.1
UD 30.2
DF carry over 3.4
DF 12.0
50.7

15.4
35.3
2018-2019 Budget Scenarios and Revised Planned Costs

<table>
<thead>
<tr>
<th>Year</th>
<th>UD: Undesignated Funds</th>
<th>DF: Designated Funds</th>
</tr>
</thead>
<tbody>
<tr>
<td>2018-2019</td>
<td>40</td>
<td>10</td>
</tr>
<tr>
<td>2018-2019</td>
<td>50</td>
<td>13</td>
</tr>
<tr>
<td>2018-2019</td>
<td>45.9</td>
<td>12.8</td>
</tr>
</tbody>
</table>

UD: Undesignated funds
DF: Designated funds

2018-2019 Financial Overview at 31 December 2019

<table>
<thead>
<tr>
<th>Year</th>
<th>UD: Undesignated Funds</th>
<th>DF: Designated Funds</th>
</tr>
</thead>
<tbody>
<tr>
<td>2018-2019</td>
<td>40</td>
<td>11.6</td>
</tr>
<tr>
<td>2018-2019</td>
<td>50</td>
<td>16.7</td>
</tr>
<tr>
<td>2018-2019</td>
<td>45.9</td>
<td>8.0</td>
</tr>
<tr>
<td>2018-2019</td>
<td>37.2</td>
<td>6.5</td>
</tr>
</tbody>
</table>

UD: Undesignated funds
DF: Designated funds

Operations DF, Operations UD, Operations support, Staff, Planned costs at Sept 2019, Funds utilized at 31 Dec 2019

(%) implementation of planned costs
## 2018-2019 FUNDS UTILIZED BY WORK AREA, AT 31 DECEMBER 2019

<table>
<thead>
<tr>
<th>Area</th>
<th>2018-19 Planned Costs</th>
<th>Funds Utilized 31/12/19</th>
<th>US$ millions</th>
</tr>
</thead>
<tbody>
<tr>
<td>IIR</td>
<td></td>
<td>3.2</td>
<td>3.2</td>
</tr>
<tr>
<td></td>
<td></td>
<td>3.2</td>
<td>2.7</td>
</tr>
<tr>
<td></td>
<td></td>
<td>6.3</td>
<td>5.4 (85%)</td>
</tr>
<tr>
<td>VES</td>
<td></td>
<td>1.8</td>
<td>1.8</td>
</tr>
<tr>
<td></td>
<td></td>
<td>1.8</td>
<td>1.4 (82%)</td>
</tr>
<tr>
<td>RCS</td>
<td></td>
<td>11.4</td>
<td>11.4</td>
</tr>
<tr>
<td></td>
<td></td>
<td>7.4</td>
<td>4.0</td>
</tr>
<tr>
<td></td>
<td></td>
<td>2.2</td>
<td>2.2 (82%)</td>
</tr>
<tr>
<td>GE</td>
<td></td>
<td>2.4</td>
<td>1.9</td>
</tr>
<tr>
<td></td>
<td></td>
<td>4.2</td>
<td>3.8 (89%)</td>
</tr>
<tr>
<td>SDF</td>
<td></td>
<td>0.7</td>
<td>0.4 (64%)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>0.7</td>
<td>0.4 (64%)</td>
</tr>
<tr>
<td>OS</td>
<td></td>
<td>1.5</td>
<td>1.0</td>
</tr>
<tr>
<td></td>
<td></td>
<td>2.5</td>
<td>2.5 (78%)</td>
</tr>
<tr>
<td>Staff</td>
<td></td>
<td>7.3</td>
<td>2.6</td>
</tr>
<tr>
<td></td>
<td></td>
<td>2.8</td>
<td>2.8</td>
</tr>
<tr>
<td></td>
<td></td>
<td>17.9</td>
<td>14.3 (80%)</td>
</tr>
</tbody>
</table>

IIR: Intervention and Implementation Research  
VES: Vectors, Environment & Society  
RCS: Research Capacity Strengthening  
GE: Global Engagement  
SDF: Strategic Development Fund  
OS: Operations Support

#### OUTLINE

- **2018-2019**
- **2020-2021**
- **2022-2023**
2020-2021 APPROVED BUDGET SCENARIOS (UD versus DF)

UD: undesignated funds  
DF: designated funds

40m budget scenario 2020-2021

UD 28.0  
DF 12.0

50m budget scenario 2020-2021

UD 34.0  
DF 16.0

40m budget scenario 2020-2021

50m budget scenario 2020-2021

UD$ millions

2020-2021 APPROVED BUDGET SCENARIOS (WORK AREAS)

40
Operations 79%
Operations support 21%

Research ops 8.8
Research staff 6.1
RCS ops 8.2
RCS staff 3.7
GE ops 2.5
GE staff 1.8
Operations support 3.9
Ops support staff 4.5

50
Operations 83%
Operations support 17%

Research ops 13.3
Research staff 6.1
RCS ops 11.6
RCS staff 3.7
GE ops 4.6
GE staff 1.8
Operations support 3.9
Ops support staff 4.5

US$ millions
EXCHANGE RATE TREND & IMPLICATIONS (UN RATES)

REVENUE TREND (UNDESIGNATED FUNDS)
REVENUE TREND: OTHERS (UNDESIGNATED FUNDS)

REVENUE TREND (PROJECT SPECIFIC FUNDS)
2020-2021 FORECAST FUNDS AVAILABLE

US$ millions

- **2020-2021 40m budget scenario**: DF 40.0 (UD 28.0, DF 12.0)
- **2020-2021 50m budget scenario**: DF 50.0 (UD 34.0, DF 16.0)
- **2020-2021 Forecast funds available (lower range)**: DF 42.9 (UD [forecast] 10.8, UD [confirmed] 12.7, UD [carry over] 4.4)
- **2020-2021 Forecast funds available (higher range)**: DF 47.5 (UD [forecast] 13.3, UD [confirmed] 12.7, UD [carry over] 5.5)

STRENGTHENING WORKING CAPITAL FOR LIABILITIES

US$ millions

- **Carry over**: DF 4.4 (UD 7.5)
- **Carry over use in 2020-2021**: DF operations 4.4, UD operations 4.5 - 4.5, Working capital 3.0 - 4.0
2020-2021 FINANCIAL MONITORING (AT END MAY 2020)

- 40 million
- DF 12.0
- UD 28.0

2020-2021 FINANCIAL MONITORING BY TEAM (AT END MAY 2020)

<table>
<thead>
<tr>
<th>Team</th>
<th>IMP 2020-2021 $40m budget</th>
<th>Funds utilized 31/05/20</th>
<th>2020-2021 $40m budget</th>
<th>Funds utilized 31/05/20</th>
<th>GE 2020-2021 $40m budget</th>
<th>Funds utilized 31/05/20</th>
<th>SDF 2020-2021 $40m budget</th>
<th>Funds utilized 31/05/20</th>
<th>OS 2020-2021 $40m budget</th>
<th>Funds utilized 31/05/20</th>
<th>Staff 2020-2021 $40m budget</th>
<th>Funds utilized 31/05/20</th>
</tr>
</thead>
<tbody>
<tr>
<td>IMP</td>
<td>3.4</td>
<td>1.5</td>
<td>5.4</td>
<td>1.8</td>
<td>2.0</td>
<td>0.5</td>
<td>2.5</td>
<td>0.7</td>
<td>0.6</td>
<td>2.4</td>
<td>1.5</td>
<td>16.0</td>
</tr>
<tr>
<td>RCS</td>
<td>4.1</td>
<td>1.1</td>
<td>4.1</td>
<td>1.9</td>
<td>2.0</td>
<td>0.5</td>
<td>2.5</td>
<td>0.7</td>
<td>0.6</td>
<td>2.4</td>
<td>1.5</td>
<td>16.0</td>
</tr>
<tr>
<td>GE</td>
<td>1.1 (24%)</td>
<td></td>
<td></td>
<td></td>
<td>2.0 (43%)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>2.4 (23%)</td>
<td>1.5 (19%)</td>
<td></td>
</tr>
</tbody>
</table>

22% implementation rate overall

IMP: Research for Implementation
RCS: Research Capacity Strengthening
SDF: Strategic Development Fund
GE: Global Engagement
OS: Operations Support
UDS millions
OUTLINE

2018 - 2019

2020 - 2021

2022 - 2023

2022-2023 BUDGET CYCLE

BUDGET REVIEW

STAC review / recommendations Mar 2022
SC review Oct 2021
Internal budget review / revision Oct 2021

JCB review / recommendations Apr 2022
SC review / recommendations Jun 2020
Setting of budget levels – JCB 2 scenarios

Prioritization of expected results Nov 2020-Feb 2021
Development of budget scenarios – 2 scenarios Feb 2021
STAC review / recommendations Mar 2021
SC review / recommendations Apr 2021

Approval of workplan within WHO Sept 2021
JCB review / recommendations Jun 2021

Ongoing monitoring of income and expenditures

BUDGET APPROVAL

BUDGET DEVELOPMENT

Setting of budget levels – JCB 2 scenarios

Approval of workplan within WHO Sept 2021
JCB review / recommendations Jun 2020
Prioritization of expected results Nov 2020-Feb 2021
Development of budget scenarios – 2 scenarios Feb 2021
STAC review / recommendations Mar 2021
SC review / recommendations Apr 2021

Ongoing monitoring of income and expenditures
REVENUE FORECAST AND 2022-2023 PROPOSED BUDGET SCENARIOS

2022-2023 PROPOSED BUDGET SCENARIOS (EXPENDITURE CATEGORY)
Item 5. Financial report

2022-2023 PROPOSED BUDGET SCENARIOS (OPERATIONS / OPERATIONS SUPPORT)

MOVING FORWARD

• WHO transformation
• TDR’s structure realigned
• Innovative ways of working (COVID-19)
• Working through partnerships
• Risk management
• Fundraising

Contribute to TDR co-sponsors’ strategic plans and results frameworks towards the Global Action Plan for implementing health related SDGs
THANK YOU

Programme Innovation and Management Team

• Caroline Easter
• Annabel Francois
• Beatrice Halpaap
• Mary Maier
• Michael Mihut

and all TDR staff